

ETF Management Services

Your Full Service Provider for Registry & Investor Engagement Services



Reducing complexity and bringing proven experience in complex markets, so fund managers of every size can grow with confidence.

The Challenge

As an ETF issuer, you are operating in a market where growth, regulation and investor expectations are intensifying at the same time.

You need to scale efficiently, stay compliant, protect investor confidence and avoid disruption as conditions change. That can be hard.

ETF expertise and investor servicing capability is critical so that can keep pace with volatility, regulatory change and fund growth.

If you don't have the right partner:

- Regulatory missteps can lead to penalties, reputation damage and scrutiny
- Critical market activities errors can undermine confidence quickly
- There's an inability to scale your service model, funds and investor volumes without it becoming costly or disruptive
- Limited access to connected data can slow down decisions on trends and servicing issues
- Multiple service providers can delay execution and increase operational risk.

The Solution

MUFG Corporate Markets, a division of MUFG Pension & Market Services, delivers a fully integrated ETF management service built on experience, scale and proven operational capability.

Having helped to launch the initial listing of the first ETF in 2001 to the first active ETF in 2015, we are at the forefront of the growing ETF market,

230+
ETFs

Our team's combined wealth of market experience allows us to provide expert guidance on registry and ancillary services to over 230+ ETFs representing approximately \$135b AUM/FUM and 50% of ETF Australian market ETF market.

Our focus is collaborating with you to provide forward thinking solutions that equip you with the services and tools to make your ETFs a success. We support both boutique firms and international fund managers.

We work with you to



Reduce operational risk



Manage regulatory complexity



Improve investor experience



Scale easily as business grows

Our Services

We deliver full registry and investor engagement services. You can customise our service offerings to meet your needs and our proven fund services, which are fully integrated, mean you only need to deal with a single provider.

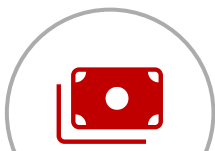
- Single issuer registry architecture
- Fund services
- Digital communication services
- An award-winning investor portal and mobile app
- Fund website services
- Investor analytics services
- API integrations to support fund growth



Servicing over

230+

Exchange Traded Funds



Approximately

\$135b

Assets under management



50%

of ETFs on ASX/Cboe



Core registry services

- Maintain ASX or Cboe listed registers
- Specialist ETF relationship team
- Single issuer registry architecture
- Online issuer portal
- Comprehensive risk, compliance framework and reporting

Proven fund services

- Global custody
- Back/ middle office
- Fund accounting
- Unlisted registry

Investor engagement services

- Award-winning investor online portal, mobile app and contact centre with a general ETF line
- Fund website services with automated price feeds
- Digital communication, print and mail services
- Conference calls and webcasting

Analytics and data reporting services

- Investor Analytics for key insights into your investors
- Delivery of automated files and reports for consumption by clients' CRM and marketing platforms
- Predictive and behavioural modelling
- Beneficial holder analysis

Get started today with an ETF registry provider that brings scale, simplicity and experience

Contact us - newbusiness.au@cm.mpms.mufg.com